|                                    | red States Paten |                       | UNITED STATES DEPAR<br>United States Patent and<br>Address: COMMISSIONER F<br>P.O. Box 1450<br>Alexandria, Virginia 223<br>www.usplo.gov | Trademark Office<br>OR PATENTS |
|------------------------------------|------------------|-----------------------|--|--------------------------------|
| APPLICATION NO.                    | FILING DATE      | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO.  | CONFIRMATION NO.               |
| 09/981,124                         | 10/17/2001       | Allan Green           | 26-98A   | 9503                           |
| 23713                              | 7590 02/17/2004  |                       | EXAM   | INER                           |
| -                                  | WINNER AND SUL   | MCELWAIN, ELIZABETH F |  |                                |
| 5370 MANHATTAN CIRCLE<br>SUITE 201 |                  |                       | ART UNIT   | PAPER NUMBER                   |
| BOULDER, CO 80303                  |                  |                       | 1638   |                                |
|                                    |                  |                       | DATE MAILED: 02/17/200   | 4                              |

Please find below and/or attached an Office communication concerning this application or proceeding.

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|  | Application No.   | Applicant(s)   |
|--|---|--|
|  | 09/981,124  | GREEN ET AL.   |
| Office Action Summary  | Examiner  | Art Unit   |
|  | Elizabeth F. McElwain   | 1638   |
| The MAILING DATE of this communication<br>Period for Reply   | appears on the cover sheet wi   | ith the correspondence address   |
| <ul> <li>A SHORTENED STATUTORY PERIOD FOR RETHE MAILING DATE OF THIS COMMUNICATIO</li> <li>Extensions of time may be available under the provisions of 37 CFF after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If the period for reply specified above is less than thirty (30) days, a</li> <li>If NO period for reply specified above, the maximum statutory period for reply within the set or extended period for reply will, by station and patent term adjustment. See 37 CFR 1.704(b).</li> </ul>   | DN.<br>R 1.136(a). In no event, however, may a re<br>n<br>reply within the statutory minimum of thirt<br>riod will apply and will expire SIX (6) MON<br>atute, cause the application to become AB | reply be timely filed<br>ty (30) days will be considered timely.<br>ITHS from the mailing date of this communication.<br>BANDONED (35 U.S.C. § 133). |
| Status   |   |  |
| 1) Responsive to communication(s) filed on <u>1</u>  | 7 October 2001.   |  |
|  | This action is non-final.   |  |
| 3) Since this application is in condition for allo closed in accordance with the practice under the second seco              | · · · · · · · · · · · · · · · · · · ·   |  |
| Disposition of Claims  |   |  |
| <ul> <li>4) Claim(s) is/are pending in the applic 4a) Of the above claim(s) is/are withe</li> <li>5) Claim(s) is/are allowed.</li> <li>6) Claim(s) is/are rejected.</li> <li>7) Claim(s) is/are objected to.</li> <li>8) Claim(s) <u>1-25</u> are subject to restriction and/</li> </ul>   | drawn from consideration.   |  |
| Application Papers   |   |  |
| 9) The specification is objected to by the Exam  | niner.  |  |
| 10) The drawing(s) filed on is/are: a) a   | accepted or b) discred to a   | by the Examiner.   |
| Applicant may not request that any objection to  | •( )  |  |
| Replacement drawing sheet(s) including the cor<br>11) The oath or declaration is objected to by the  |   |  |
| Priority under 35 U.S.C. § 119   |   |  |
| <ul> <li>12) Acknowledgment is made of a claim for fore</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority docume</li> <li>2. Certified copies of the priority docume</li> <li>3. Copies of the certified copies of the priority docume</li> <li>* See the attached detailed Office action for a labeled office ac</li></ul> | ents have been received.<br>ents have been received in A<br>priority documents have been<br>reau (PCT Rule 17.2(a)).  | pplication No<br>received in this National Stage   |
| Attachment(s) 1)  Notice of References Cited (PTO-892) 2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  |   | ummary (PTO-413)<br>s)/Mail Date   |
| <ul> <li>a) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/<br/>Paper No(s)/Mail Date</li> </ul>  |   | formal Patent Application (PTO-152)  |

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Application/Control Number: 09/981,124 Art Unit: 1638

## **DETAILED ACTION**

## Election/Restrictions

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - Claims 1-9, 12-14 and 16-25, drawn to products and methods relating to SEQ
     ID NO: 1 or encoding SEQ ID NO: 2, classified in class 800, subclass 281, for example.
  - II. Claims 1-9, 12-14 and 16-25, drawn to products and methods relating to SEQID NO: 3 or encoding SEQ ID NO: 4, classified in class 800, subclass 281, for example.
  - III. Claims 1-9, 12-14 and 16-25, drawn to products and methods relating to SEQID NO: 5 or encoding SEQ ID NO: 6, classified in class 800, subclass 281, for example.
  - IV. Claims 1-25, drawn to products and methods relating to SEQ ID NO: 19 or encoding SEQ ID NO: 20, classified in class 800, subclass 281, for example.

The inventions are distinct, each from the other because of the following reasons:

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Applicants are reminded that nucleotide sequences encoding different proteins are structurally distinct chemical compounds and are unrelated to one another. These sequences are thus deemed to normally constitute **independent and distinct** inventions within the meaning of 35 U.S.C. 121. Absent evidence to the contrary, each such nucleotide sequence is presumed to represent an independent and distinct invention, subject to a restriction requirement pursuant to 35 U.S.C. 121 and 37 CFR 1.141 et seq. This requirement is not to be construed as a requirement for an election of species, since each nucleotide and amino acid sequence is not a member of single genus of invention, but constitutes an independent and patentably distinct invention.

2. Because these inventions are distinct for the reasons given above and the search required for any of Groups I-IV is not required for any one of the other Groups, restriction for examination purposes as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

3. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i). Application/Control Number: 09/981,124 Art Unit: 1638

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Elizabeth F. McElwain whose telephone number is (571) 272-0802. The examiner can normally be reached on increased flex time.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Amy Nelson can be reached on (571) 272-0804. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (tollfree).

L-INEL

Elizabeth F. McElwain Ph.D. Level Examiner Art Unit 1638

**EFM** 

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